

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

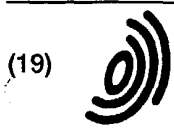
Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☐ FADED TEXT OR DRAWING
- ☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.



Europäisches Patentamt  
European Patent Office  
Office européen des brevets



(11) EP 0 940 936 A1

(12) EUROPEAN PATENT APPLICATION

(43) Date of publication:  
08.09.1999 Bulletin 1999/36

(51) Int. Cl.<sup>6</sup>: H04B 7/26, H04J 3/16,  
H04J 13/02

(21) Application number: 98303327.5

(22) Date of filing: 28.04.1998

(84) Designated Contracting States:  
AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU  
MC NL PT SE  
Designated Extension States:  
AL LT LV MK RO SI

(30) Priority: 06.03.1998 GB 9804891

(71) Applicants:  
• Lucent Technologies Network Systems UK  
Limited  
Malmesbury, Wiltshire SN16 9NA (GB)  
• LUCENT TECHNOLOGIES INC.  
Murray Hill, New Jersey 07974-0636 (US)

(72) Inventors:  
• Frieberg, Lorenz Fred  
Grange Park, Swindon, Wiltshire SN5 6EB (GB)

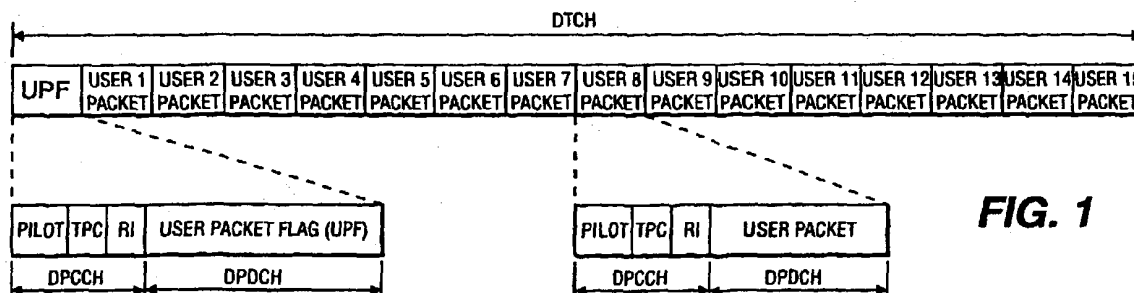
• Allpress, Stephen Alan,  
Kenholme  
Fordingbridge, Hampshire SP6 1PT (GB)  
• Lin, Jie (Jeff), Dr.  
Torquay, Devon TQ2 8RD (GB)  
• Cao, Qiang  
Swindon, Wiltshire SN5 7EG (GB)  
• Wood, Stephen Andrew  
Hotwells, Bristol, BS8 4YE (GB)

(74) Representative:  
Funnell, Samantha Jane et al  
Lucent Technologies UK Limited,  
5 Morningside Road  
Woodford Green, Essex IG8 0TU (GB)

(54) Time division multiple access communication system

(57) A time division multiple access communication system is disclosed, in which down link data are transmitted over a data channel in repeated time frames each containing a plurality of time slots. Down link set up information is transmitted over a control channel to indicate from which time slot a user terminal should extract data. Each frame on the data channel includes a

user packet flag to indicate whether or not each time slot in the frame contains data. Each user terminal is responsive to the set up information and to the user packet flags to extract data from its assigned time slot in those frames which the slot is indicated to contain data.



EP 0 940 936 A1

## Description

[0001] This invention relates to time division multiple access communication systems.

[0002] Traditionally, user traffic in a code division multiple access system is circuit switched. Once a call has been set up, the connection is maintained throughout the duration of the service request. A dedicated traffic channel is assigned to each active user for both the uplink and the downlink. Each dedicated traffic channel is characterized by a unique spreading code. Throughout the entire session, the dedicated traffic channel is used exclusively by the active user.

[0003] The circuit switched method is robust, and result in high system capacity by supporting: macro-diversity (soft handover); power control; limited random access overhead.

[0004] However, in wideband multi-service code division multiple access systems, extremely burst traffic needs to be supported. Burst traffic is supported by adjusting the data rate, and hence the spreading factor and spreading code. However, the need to quickly adjust the spreading code leads to highly complex code allocation algorithms.

[0005] Another popular method for accommodating bursty services is through packet switched data. ETSI UMTS W-CDMA (European Telecommunications Standards Institute Universal Mobile Telecommunications Service Wide Band code Division Multiple Access) and ARIB W-CDMA (Association of Radio Industry Business Wide Band Code Division Multiple Access) propose to use RACH/FACH (Random Access Channel/Forward Access Channel) to transmit infrequent bursty packet data. The advantage of such a scheme is quick set up time, dedicated channel is not required.

[0006] However, this transmission mechanism uses open loop power control only, and macro-diversity is not supported

[0007] Against this background, in accordance with the invention, there is provided a time division multiple access communication system, in which down link data are transmitted over a data channel in repeated time frames each containing a plurality of time slots, and down link set up information is transmitted over a control channel to indicate from which time slot a user terminal should extract data, each frame on the data channel including a user packet flag to indicate whether or not each time slot in the frame contains data, and each user terminal being responsive to the set up information and to the user packet flags to extract data from its assigned time slot in those frames which the slot is indicated to contain data.

[0008] A plurality of bursty packet data users may be multiplexed onto the same downlink dedicated traffic channel. The multiplexing scheme allows low complexity code allocation; macro-diversity and soft handover; low associated control overhead; and limited closed loop power control.

[0009] One embodiment of the invention will now be described, by way of example, with reference to the accompanying drawing, in which:

Figure 1 is a schematic representation of a down link time frame on a dedicated transmission channel in a communication system embodying the invention;

Figure 2 is a schematic representation of a plurality of down link time frames in the system of Figure 1; Figure 3 is a schematic representation of a user packet flag in a frame on the down link in the system of Figure 1;

Figure 4 is a schematic representation of an uplink data channel and the uplink control channel in relation to the down link time frame of Figure 1; and Figure 5 shows power levels on the down link.

[0010] In an initialisation stage, user terminals, e.g. mobile stations acquire slot and frame synchronisation. Mobile stations also perform codegroup identification and scrambling-code identification. After the scrambling code has been identified, the primary CCPCH (Common Control Physical Channel) can be detected, the system and cell specific BCCH (Broadcast Control Channel) information can be read. RACH will be known to the mobile stations.

[0011] For mobile station initiated bursty packet data transmission, mobile stations perform a random access request procedure. Based on the successful reception of the random access request from a mobile station, a base station informs the mobile station on which dedicated transmission channel packet data is to be transmitted. For base station initiated bursty packet data transmission, the base station simply pages the user(s) and indicates the dedicated transmission channel on which packet data is to be transmitted. The mobile station acknowledges setting up the dedicated transmission channel. In both cases, mobile stations are informed by the base station which time slot in the dedicated transmission channel frame they take packets from. In other words, the mobile station knows the location of the slot in the dedicated transmission channel time frame to receive packets if there are any.

[0012] The downlink dedicated transmission channel is organized as shown in Figure 1. A dedicated transmission channel time frame consists of 16 time slots. The first slot is used to transmit a user packet flag indicating the location of a packet which is addressed to a specific user. The rest of the slots in the frame will be used to transmit data user packets to the users.

[0013] Control information (pilot, transmission power control, and rate information) is transmitted on dedicated physical control channel and data information is transmitted on dedicated physical data channel. The control channel and data channel are time multiplexed as shown in Figure 1. The dedicated physical data channel carries user packets and user packet flag infor-

mation.

[0014] A common situation in the dedicated transmission channel is that not all frame slots are filled up by user packets. This is shown in Figure 2 where three successive frames are shown.

[0015] To multiplex bursty packet data users onto a single physical dedicated traffic channel a simplified orthogonal spreading code allocation is utilized while maintaining most of the benefits of virtual circuit transmission.

[0016] The user packet flag (UPF) is composed of 15 fields, corresponding to at most 15 slots for user packets in a frame

$$UPF=[UPF1, UPF2, UPF3, \dots, UPF15]$$

[0017] Each field of the user packet field UPF indicates the status of a corresponding slot (whether there is a packet for the specific user or not)

$$UPFi = \text{status}$$

where

$$\text{status} = \begin{cases} 0 & \text{no packet} \\ 1 & \text{a packet} \end{cases}$$

[0018] The user packet flag UPF is merely a bit sequence of length L. Typically, L is equal to 15+1 (including first bit = 0 permanently), since in the embodiment at most 15 users are assigned to receive bursty packet data from the dedicated transmission channel. As soon as mobile stations receive the user packet flag UPF they will be able to identify if there is any packet for them contained in the frame. If there is, they extract the relevant packets. An example of a user packet flag UPF is shown in Figure 3. This UPF pattern (UPF-6A91H) indicates that there are packets in the frame for the users presently associated with the time slots identified by:

User1, User2, User4, User6, User8, User11, and user15

and that there are no packets in the frame for the users presently associated with the time slots identified by:

User3, User5, User7, User9, User10, User12, User13 and User14

[0019] The flag for time slot zero UPF0 is always set

as 0. The user packet flag UPF contains important information. To make it more robust, repetition can be used to obtain diversity gain, e.g. each bit may be repeated at least once.

[0020] Control information (Pilot, TPC [Transmission Power Control], and RI [Rate Information]) is transmitted in every slot on the dedicated transmission channel DTCH (Dedicated Traffic Channel), even when there is no user data. Therefore, soft handover, as well as limited closed loop power control, still retains.

[0021] Since downlink bursty traffic transmission is removed to a dedicated transmission channel DTCH rather than FACH, traffic on RACH/FACH loop decreases. Consequently, probability for random access increases, resulting in a decrease of blocking rate.

[0022] The uplink transmission is not time multiplexed; each user is assigned a unique spreading code, as shown in Figure 4. Since the control channel is transmitted continuously for each user in the uplink, the base station is able to monitor the received power continuously. However, the BTS (base transceiver station) is limited in the number of power control symbols it is able to send each user. In fact, the BTS only transmits a TPC symbol relevant to an individual user, during the slot assigned to that user. Consequently, the user may receive as few as one TPC symbol per frame. The uplink power control is limited, not in the quality of the information, but in how often the information can be transmitted. Hence, to maximize the effectiveness of the uplink power control, and to limit intra-cell interference, the uplink data should be transmitted as soon as possible after receiving the TPC symbol for the multiplexed downlink channel. The offset time, as displayed in Figure 4, should be kept to minimum. Fortunately, it is expected that there will be a high degree of asymmetry in W-CDMA bearer services. The amount of uplink data will be very small in relation to the downlink data. Therefore, the uplink data can be concentrated in time, and sent shortly after receiving the TPC symbol.

[0023] The uplink power control scheme specified in UMTS limits the BTS to one symbol during the slot assigned to that user on the down link. Since mobile stations would receive their power control symbol intermittently, e.g. one per frame, due to the bursty nature of the downlink transmission, the rate of adjustment may not be sufficient to keep up with changing uplink power requirements. In order to improve the position, a further piggy back symbol is used to transmit power adjustment information in each slot on the downlink, so that the power adjustment required is transmitted in two symbols.

[0024] In each time slot, the data is destined to a particular user only. However, the pilot symbols are used by all users. Therefore, the downlink transmit power of the pilot symbols must be constant and high enough for all users to properly receive. The data portion may be adjusted for each user. Each user's continuous uplink

control channel contains all downlink power control symbols defined for a dedicated traffic channel. Hence the downlink can adjust the power level of user data properly as shown in Figure 5.

#### Claims

1. A time division multiple access communication system, in which down link data are transmitted over a data channel in repeated time frames each containing a plurality of time slots, and down link set up information is transmitted over a control channel to indicate from which time slot a user terminal should extract data, each frame on the data channel including a user packet flag to indicate whether or not each time slot in the frame contains data, and each user terminal being responsive to the set up information and to the user packet flags to extract data from its assigned time slot in those frames which the slot is indicated to contain data.

5

10

15

20

25

30

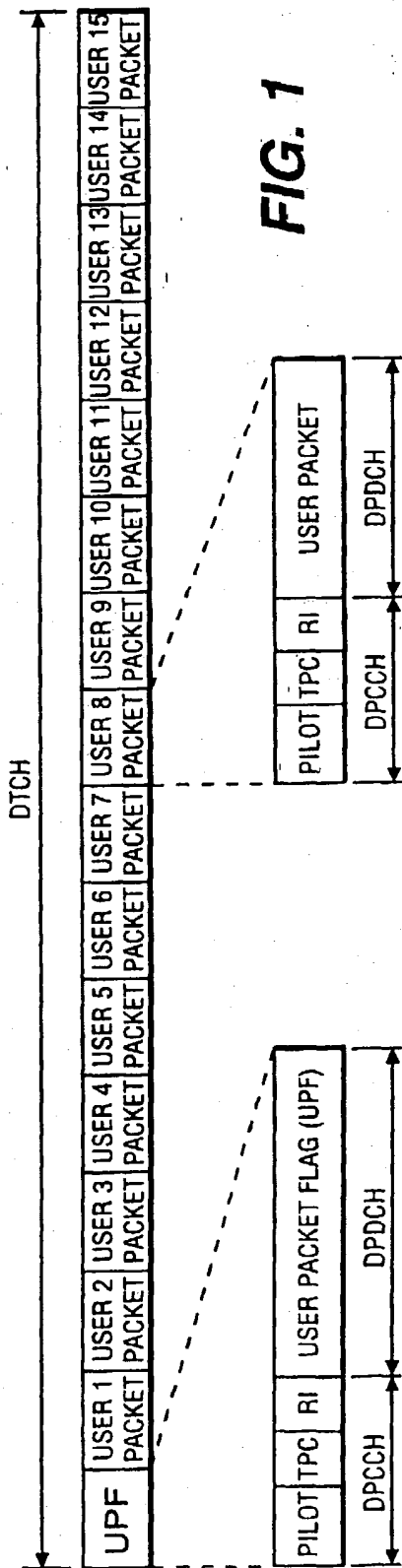
35

40

45

50

55



**FIG. 2**

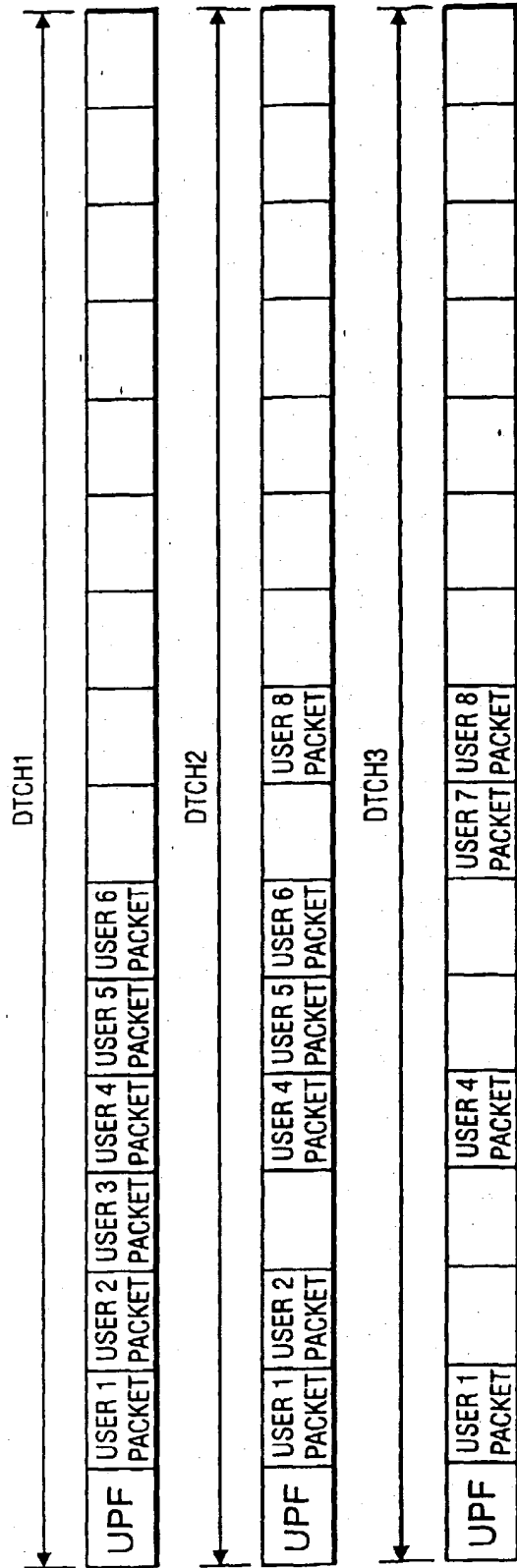


FIG. 3

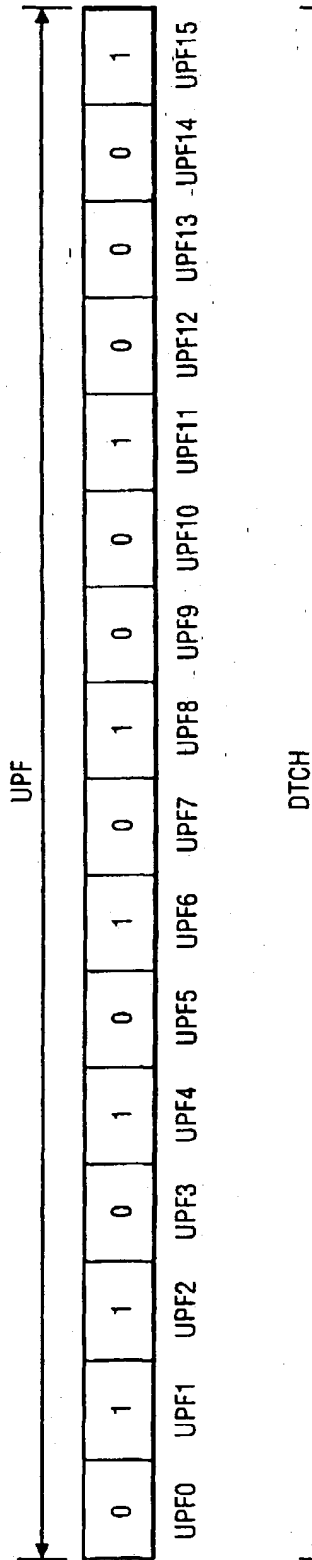
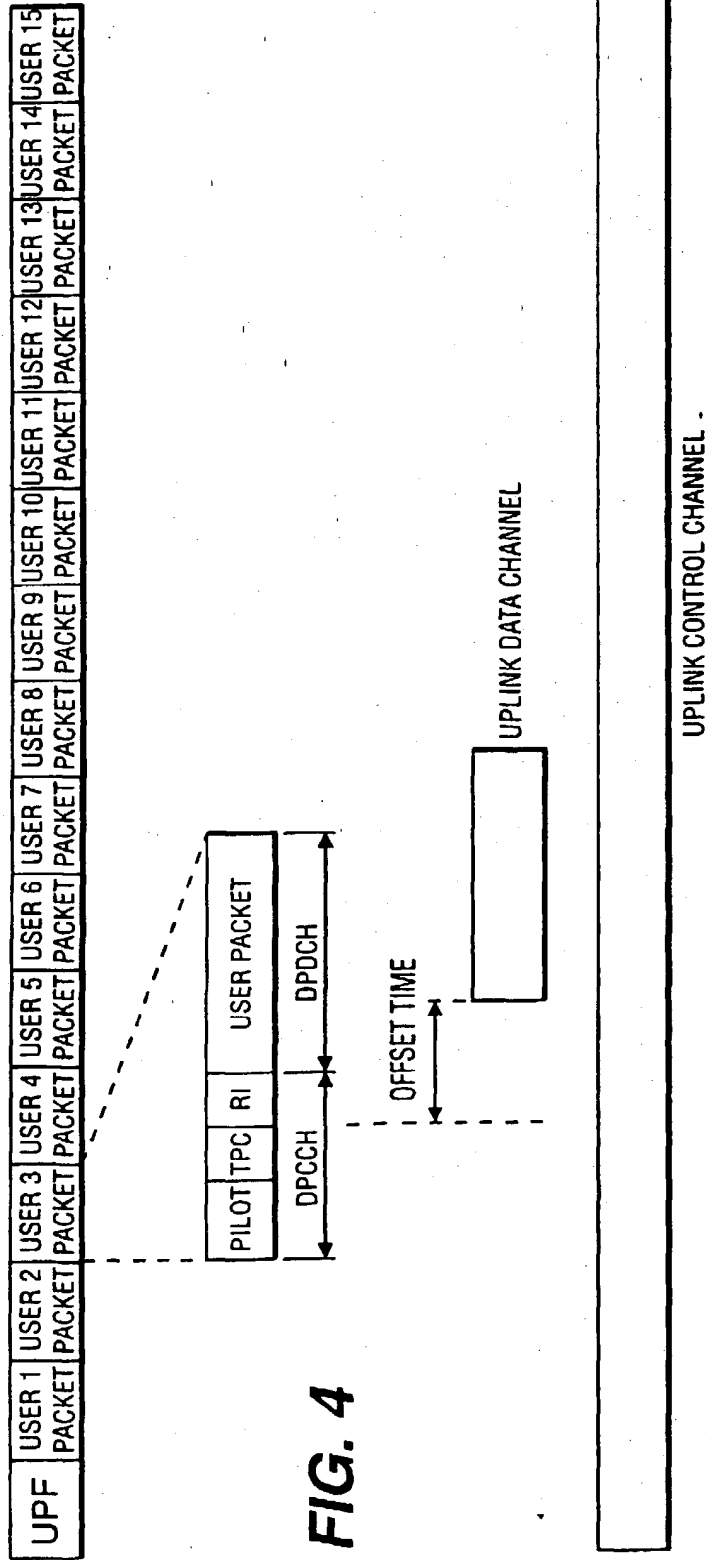
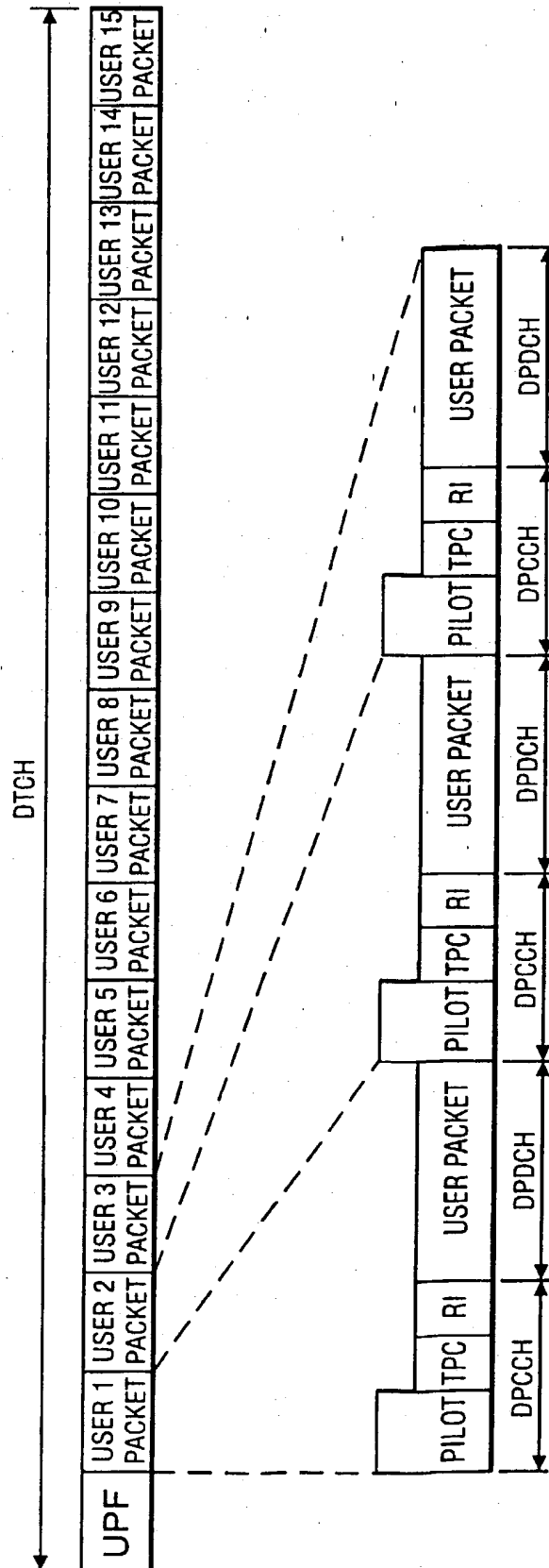


FIG. 4



**FIG. 5**







European Patent  
Office

# EUROPEAN SEARCH REPORT

Application Number  
EP 98 30 3327

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.Cl.6)
Y	GB 2 252 887 A (COGNITO GROUP LTD) 19 August 1992 * page 1, line 10 - page 2, line 5 * * page 2, line 20 - line 24 *	1	H04B7/26 H04J3/16 H04J13/02
Y	EP 0 731 571 A (NIPPON TELEGRAPH & TELEPHONE) 11 September 1996 * column 2, line 45 - line 51 * * column 7, line 39 - line 52 * * figure 5 *	1	
A	GB 2 295 525 A (NOKIA MOBILE PHONES LTD) 29 May 1996 * page 3, line 12 - page 4, line 5 *	1	
A	WO 97 32413 A (ERICSSON GE MOBILE INC) 4 September 1997 * page 1, line 4 - line 10 * * page 24, line 27 - page 25, line 3 *	1	
A	WO 97 49200 A (OMNIPOINT CORP) 24 December 1997 * page 5, line 8 - line 31 *	1	TECHNICAL FIELDS SEARCHED (Int.Cl.6)
A	US 5 420 864 A (DAHLIN JAN ERIK AAKE ST ET AL) 30 May 1995 * column 3, line 20 - line 25 *	1	H04J H04B H04Q
A	EP 0 681 406 A (NOKIA MOBILE PHONES LTD) 8 November 1995 * column 2, line 1 - line 41 *	1	
The present search report has been drawn up for all claims			
Place of search THE HAGUE		Date of completion of the search 2 March 1999	Examiner Schweitz, M
CATEGORY OF CITED DOCUMENTS X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons & : member of the same patent family, corresponding document			

EPO FORM 1503 03 02 (P04C01)

**ANNEX TO THE EUROPEAN SEARCH REPORT  
ON EUROPEAN PATENT APPLICATION NO.**

EP 98 30 3327

This annex lists the patent family members relating to the patent documents cited in the above-mentioned European search report. The members are as contained in the European Patent Office EDP file on  
The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

02-03-1999

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
GB 2252887 A	19-08-1992	AU 654305 B	03-11-1994
		AU 8866591 A	11-06-1992
		CA 2073674 A	14-05-1992
		EP 0510156 A	28-10-1992
		WO 9209148 A	29-05-1992
		NZ 240584 A	26-07-1995
		US 5377192 A	27-12-1994
EP 0731571 A	11-09-1996	JP 8307337 A	22-11-1996
		US 5742909 A	21-04-1998
GB 2295525 A	29-05-1996	FI 945540 A	26-05-1996
		AU 695635 B	20-08-1998
		AU 3984995 A	19-06-1996
		CN 1173250 A	11-02-1998
		DE 19543253 A	30-05-1996
		ES 2113810 A	01-05-1998
		WO 9617447 A	06-06-1996
		FR 2727591 A	31-05-1996
		JP 10510111 T	29-09-1998
		NL 1001744 A	28-05-1996
		SE 508766 C	02-11-1998
		SE 9504067 A	26-05-1996
WO 9732413 A	04-09-1997	AU 1963897 A	16-09-1997
		EP 0883941 A	16-12-1998
WO 9749200 A	24-12-1997	AU 3141897 A	07-01-1998
US 5420864 A	30-05-1995	SE 500565 C	18-07-1994
		AU 678919 B	12-06-1997
		AU 4070695 A	04-04-1996
		AU 667998 B	18-04-1996
		AU 5379594 A	24-05-1994
		BR 9305692 A	24-12-1996
		CN 1088378 A	22-06-1994
		EP 0623263 A	09-11-1994
		FI 943052 A	23-06-1994
		JP 7502874 T	23-03-1995
		MX 9306347 A	29-04-1994
		NZ 257411 A	24-02-1997
		NZ 280819 A	24-02-1997
		SE 9203127 A	27-04-1994
		WO 9410767 A	11-05-1994
		SG 43757 A	14-11-1997

